



## FACSIMILE COVER SHEET



**DATE:** November 4, 2003

**TO:** Examiner: Not yet assigned      **FAX NO.:** (703) 305-9822  
Art Unit: Not yet assigned

**FROM:** Jeffrey G. Toler  
Reg. No. 38,342

**RE U.S. App. No.:** 10/654,858, filed September 4, 2003

**Applicant(s):** Ken R. Jones et al.

**Atty Dkt No.:** 1033-SS00406

**Title:** ENHANCED NETWORK MANAGEMENT SYSTEM

**NO. OF PAGES (including Cover Sheet):** 5

### MESSAGE:

Attached please find:

- Information Disclosure Statement transmittal (3 pages)
- Form Substitute PTO-1449A (1 page)

### CONFIDENTIALITY NOTE

*The pages accompanying this facsimile transmission contain information from the law office of Toler, Larson & Abel, L.L.P. and are confidential and privileged. The information is intended to be used by the individual(s) or entity(ies) named on this cover sheet only. If you are not the intended recipient be aware that reading, disclosing, copying, distribution or use of the contents of this transmission is prohibited. Please notify us immediately if you have received this transmission in error at the number listed above and return the document to us via regular mail.*



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ken R. Jones et al.

Title: ENHANCED NETWORK MANAGEMENT SYSTEM

Application No.: 10/654,858 Filed: September 4, 2003

Examiner: Not yet assigned Group Art Unit: Not yet assigned

Atty. Docket No.: 1033-SS00406 Confirmation No.: Not yet assigned

COMMISSIONER FOR PATENTS  
PO Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, the undersigned brings the patents, publications, applications or other information identified in the attached:

Form Substitute PTO-1449A (1 page)  
 Other: N/A

to the Examiner's attention in the above-identified application. Citation of such information shall not be construed as:

1. an admission that the information necessarily is, or corresponds to, prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described below; or
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

For each item of information listed that is not in the English language, the undersigned has provided a concise explanation of the relevance, such as through (i) an English language abstract, (ii) an English language equivalent application, (iii) reference to discussion in the application, or (iv) if cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action that indicates the degree of relevance found by the foreign office.

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO, Office of the OPIE, facsimile number (703) 305-9822, on November 4, 2003.

Reina R. Bernfeld  
Typed or Printed Name

Reina R. Bernfeld  
Signature

PATENT

STATEMENT UNDER 37 C.F.R. § 1.704(d)

If the above-identified application is an original application filed on or after May 29, 2000:

each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement.

FEES DUE

This Information Disclosure Statement is being filed:

within three months of the filing date of a national application or within three months of entry of the national stage as set forth in § 1.491 in an international application. Therefore, no fee is required.

before the mailing date of a first Office action on the merits or before the mailing date of a first Office action after the filing of a request for continued examination under § 1.114. Therefore, no fee is believed required.

during the period specified in § 1.97(c). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.

during the period specified in § 1.97(c). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal.

during the period specified in § 1.97(d). Accordingly, the fee set forth in § 1.17(p) is required and provided as shown on the attached Fee Transmittal. Additionally, each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement.

PATENT

Should any PTO fees be necessary for entry of this Information Disclosure Statement, the undersigned hereby authorizes the Commissioner to charge Deposit Account 50-2469.

Respectfully submitted,

11/4/2003  
Date

  
Jeffrey G. Toler, Reg. No. 38,342  
Attorney for Applicant(s)  
TOLER, LARSON & ABEL, L.L.P.  
P.O. Box 29567  
Austin, Texas 78755-9567  
(512) 327-5515 (phone)  
(512) 327-5452 (fax)



# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

Sheet 1 of 1  
(use as many sheets as necessary)

Complete if Known  
Application Number 10/654,858  
Filing Date September 4, 2003  
First Named Inventor Ken R. Jones et al.  
Group Art Unit Not yet assigned  
Examiner Name Not yet assigned  
Attorney Docket Number 1033-SS00406

## U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No.1	U.S. Patent Document Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	6,272,127		Golden et al.	08-07-2001	
	AB	6,351,452		Koenig et al.	02-26-2002	
	AC	6,389,464		Krishnamurthy et al.	05-14-2002	
	AD	6,445,682		Weitz	09-03-2002	
	AE	6,477,579		Kunkel et al.	11-05-2002	
	AF	6,498,791		Pickett et al.	12-24-2002	
	AG	6,510,152		Gerszberg et al.	01-21-2003	
	AH	6,526,581		Edson	02-25-2003	
	AI	6,553,489		Osler et al.	04-22-2003	
	AJ	6,559,769		Anthony et al.	05-08-2003	
	AK	6,560,222		Pounds et al.	05-06-2003	
	AL	6,584,502		Natarajan et al.	06-24-2003	
	AM	6,594,695		Vasamsetti et al.	07-15-2003	
	AN	6,614,781		Elliott et al.	09-02-2003	
	AO	6,631,120		Milbrandt	10-07-2003	
	AP	6,631,436		Liu et al.	10-07-2003	
	AQ	6,638,505		Wang et al.	10-21-2003	

## FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No.1	Foreign Patent Document Office, Number	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
							<input type="checkbox"/>

## PUBLICATIONS

Examiner Initials *	Cite No.1	Title of Publication	Date of Publication of Cited Document MM-DD-YYYY

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST, 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST, 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

SEND TO: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450